

# **Immunophenotyping to Differentiate Responder and Non-responder Patients in Cancer Immunotherapy**

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# Disclosures

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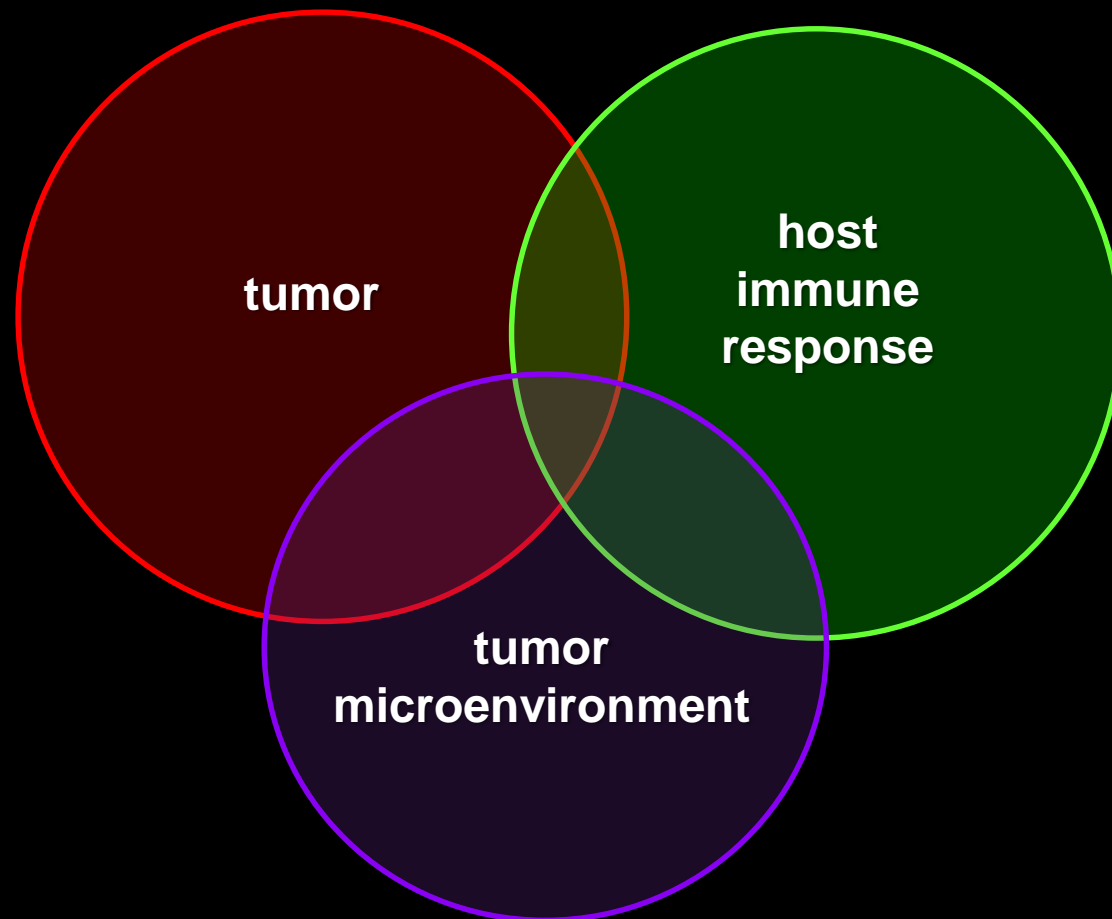
- DOD, DHS, In-Q-Tel
- National Academy of Medicine Global Forum on Microbial Threats



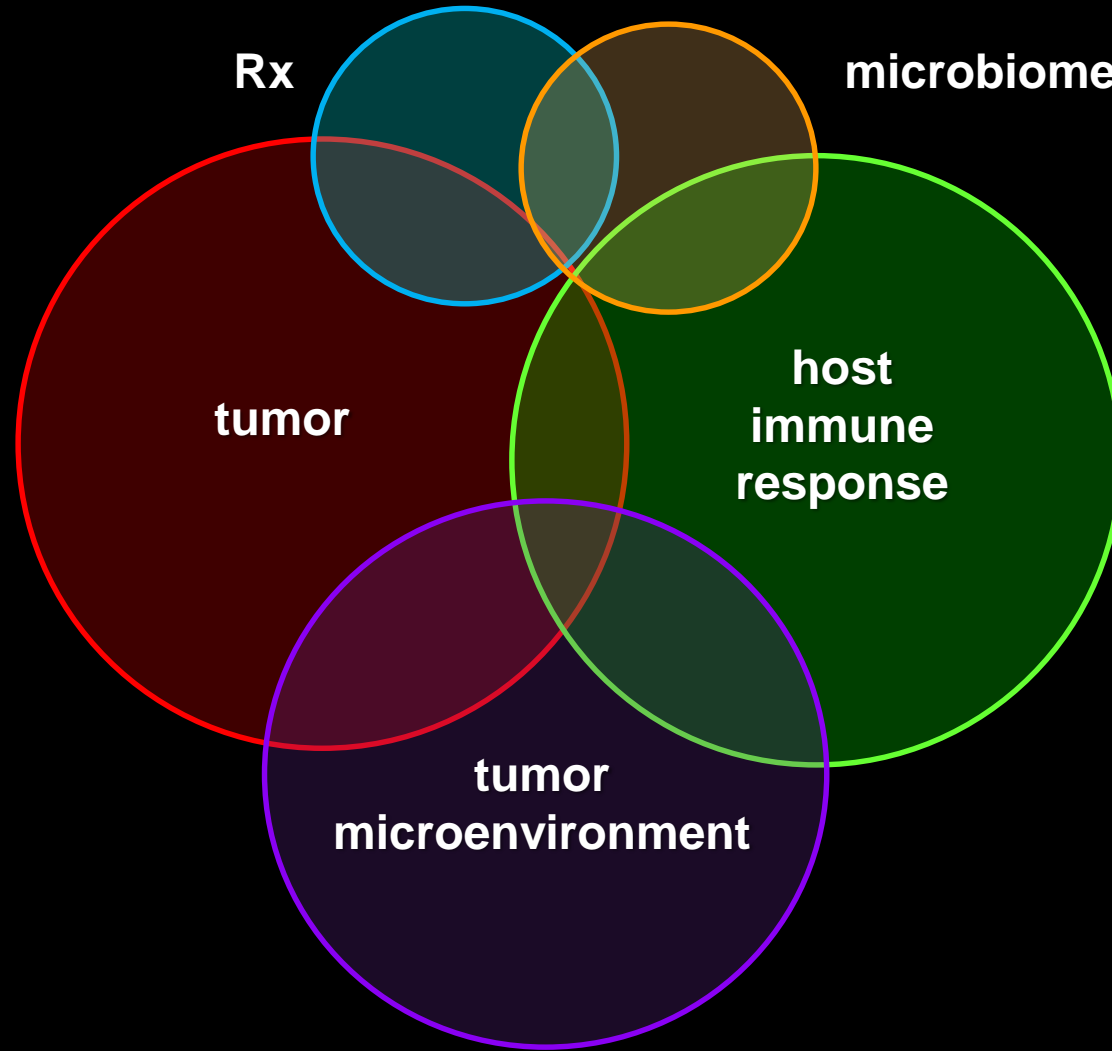
# Cancer Immunotherapy

- **significant variation in response rates between and within tumor types**
- **need for more sophisticated immunophenotypic methods for predictive and prognostic profiling of responder (R) and non-responder (NR) patients**
- **high cost of futile therapy in NR cohort plus indirect care costs for management of toxicity /AEs**
- **need for more informed rationale for combination regimens**
  - **doses, sequence**
  - **Rx classes**

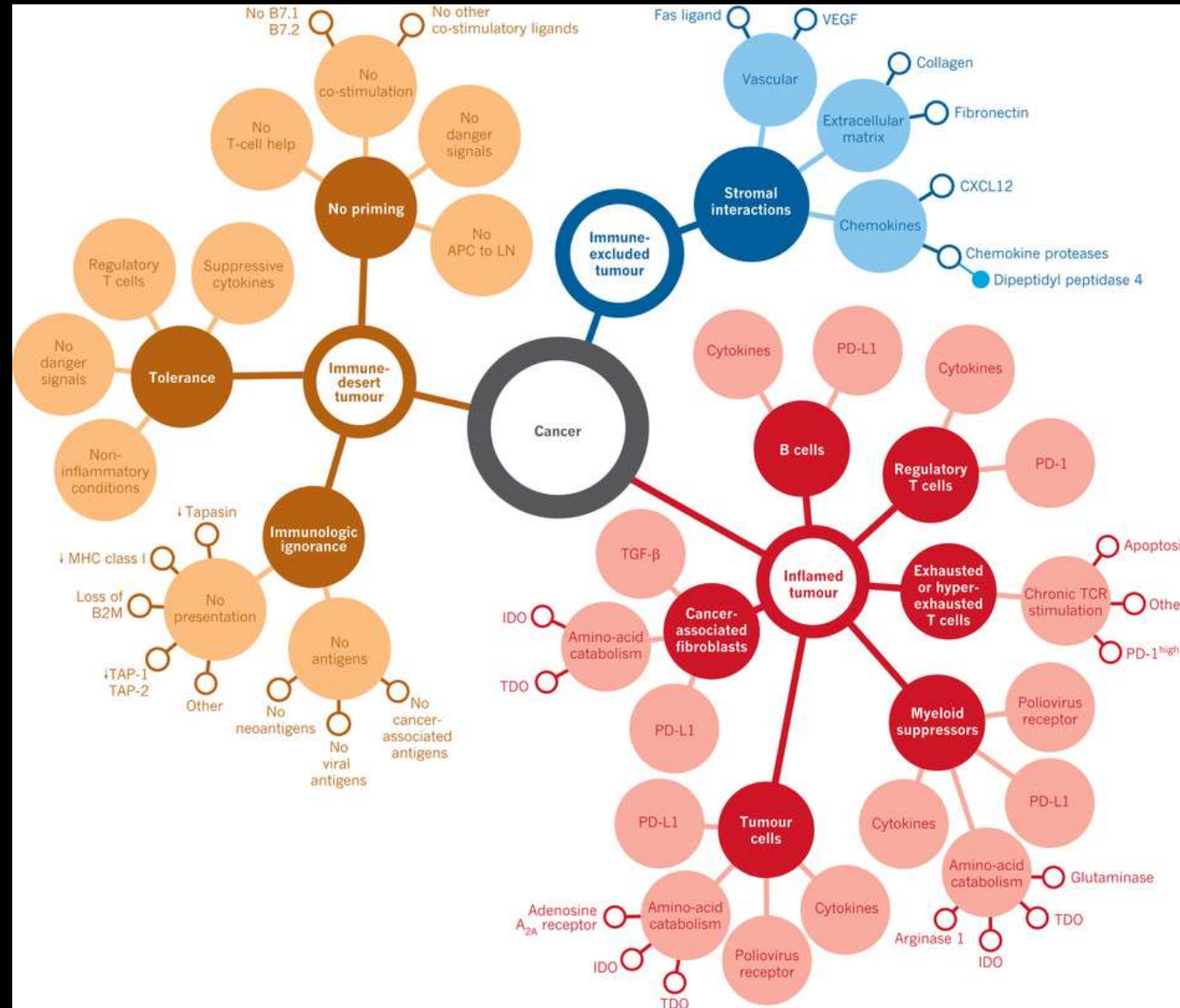
# Deconvolution of the Complex, Multi-Dimensional Matrix of Immuno-Oncology Therapeutics



# Deconvolution of the Complex, Multi-Dimensional Matrix of Immuno-Oncology Therapeutics



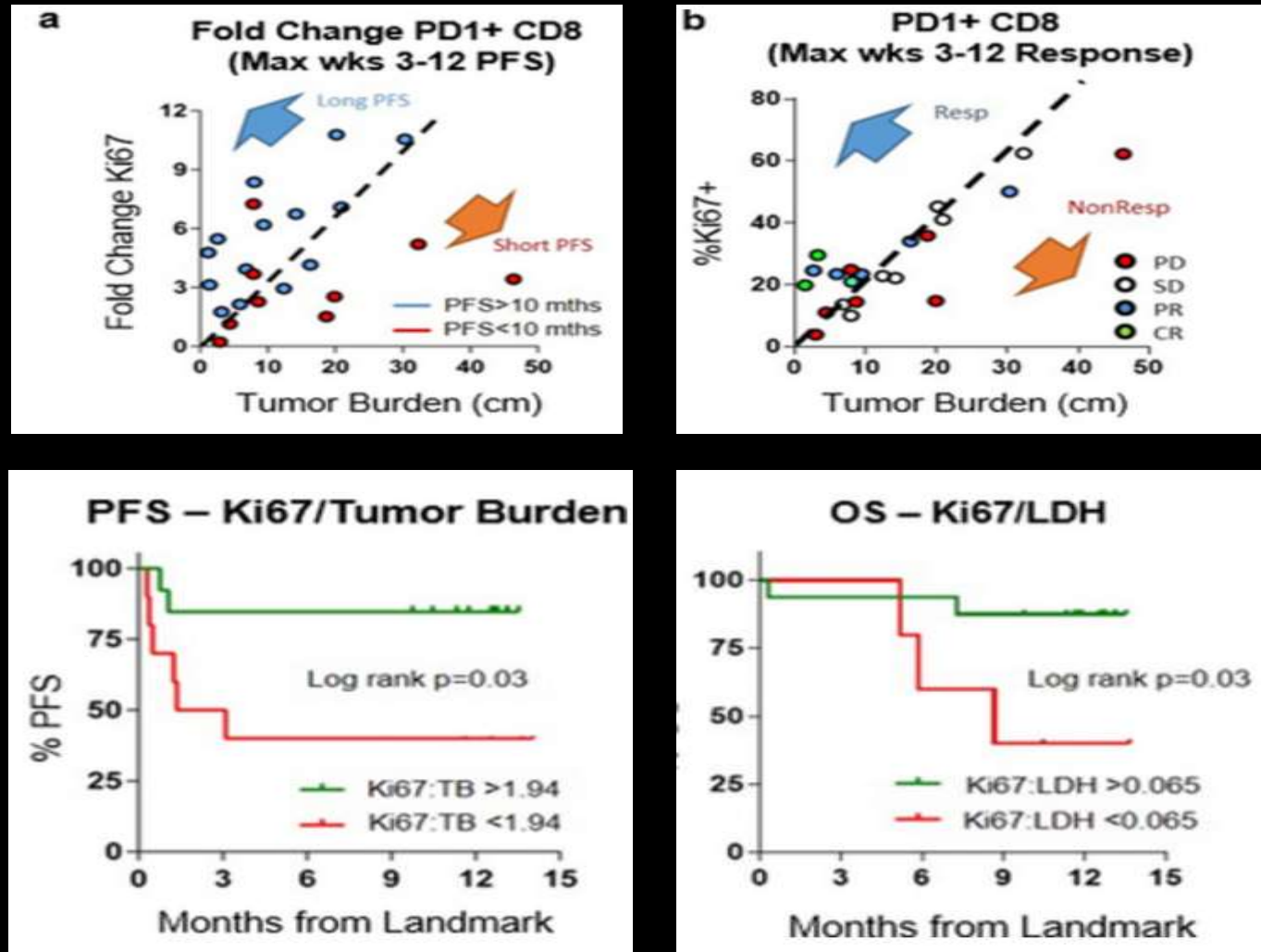
# The Complexity of Cancer-Immune Phenotypes



From: D. S. Chen and I. Mellman (2017) Nature 541, 321



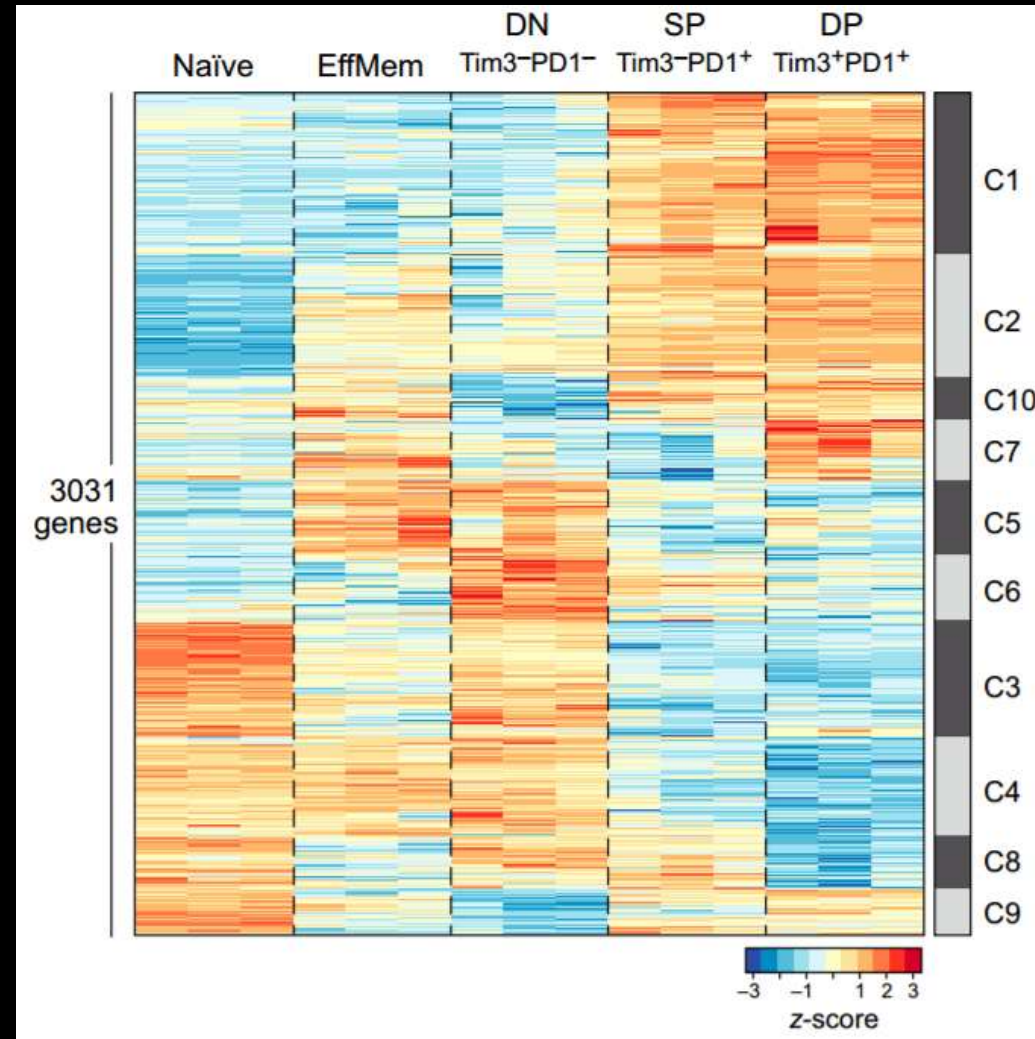
# Relationship of Tumor Burden, PFS and OS to Enhanced Expression of Ki67 as CD8<sup>+</sup> Proliferation Marker in Pembrolizumab Anti-PD-1 Therapy of Stage IV Melanoma (n=23)



From: A. C. Huang et al. Nature (2017) doi:10.1038/nature22079 Supplemental Data Fig. 9



# Heatmap of Differentially Expressed Genes in Tumor Infiltrating Lymphocytes and Identification of Activation and Dysfunction (Exhaustion) Modules



# **The Complex Metabolic Landscape of the TME and Potential Effects on Anti-Tumor Immune Functions**

- **competition of tumor cells and activated immune cells for tryptophan, arginine and glutamine**
- **over expression of indoleamine 2, 3-dioxygenase (IDO) by tumor cells**
  - **tryptophan metabolites (e.g. kynurenines) down regulate TCR and induce FOXP3<sup>+</sup> Tregs**
- **arginine depletion**
  - **impaired T cell function, induce MDSC generation**
- **glutamine depletion**
  - **promotes generation of Tregs**
- **CD73 expression on tumor cells and adenosine accumulation**
  - **impaired T and NK cell activity, recruitment of MDSC**



# Large Scale Profiling of Markers Relevant To Immune Checkpoint Blockade Therapy

120,000

Cancer  
Patients  
Profiled

PD-L1

Immunohistochemistry

46,000+

TESTS PERFORMED

Programmed  
Cell Death-  
Ligand 1

MSI

Next-Generation  
Sequencing

21,000+

TESTS PERFORMED

Microsatellite  
Instability

TML

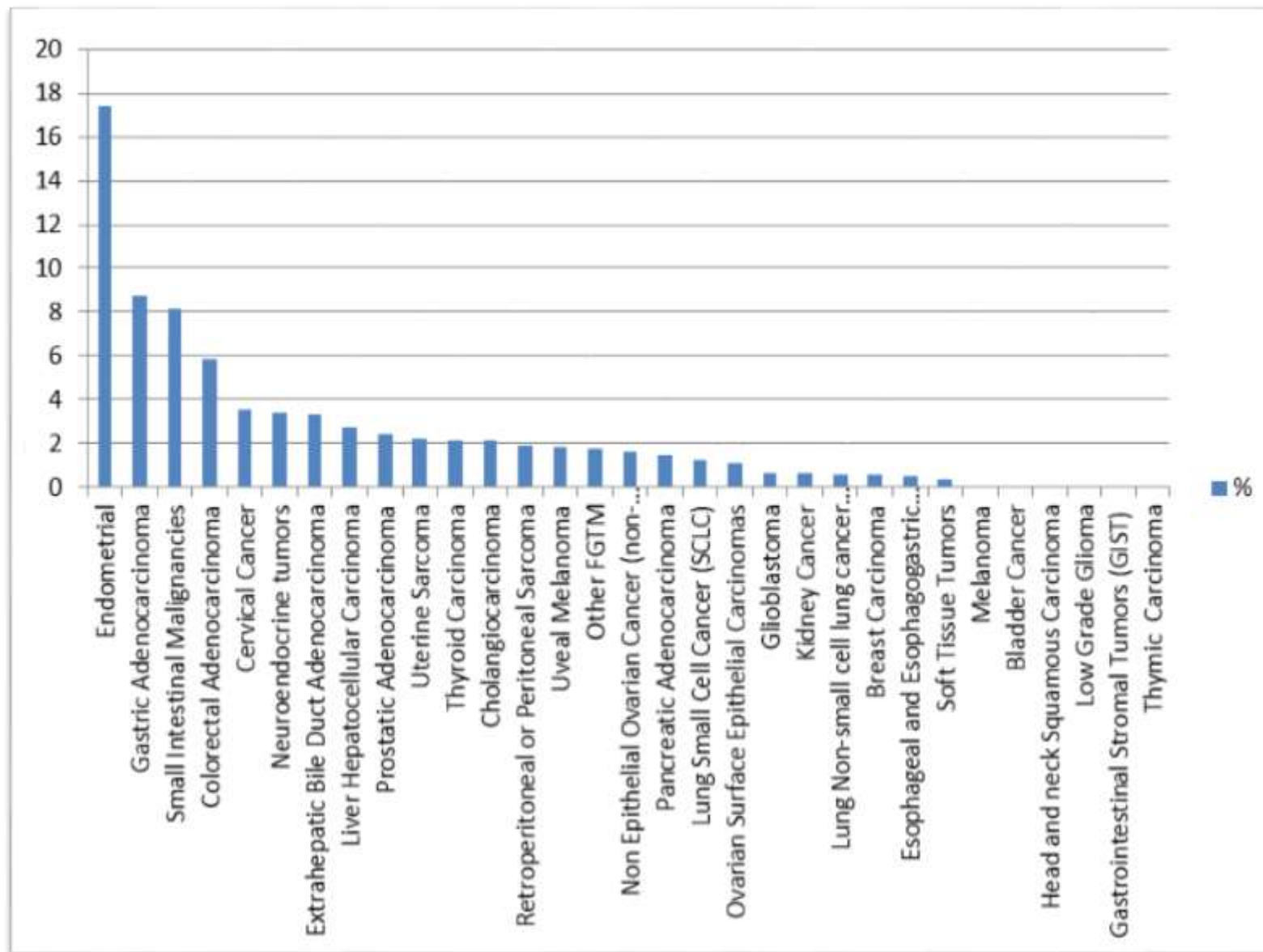
Next-Generation  
Sequencing

17,000+

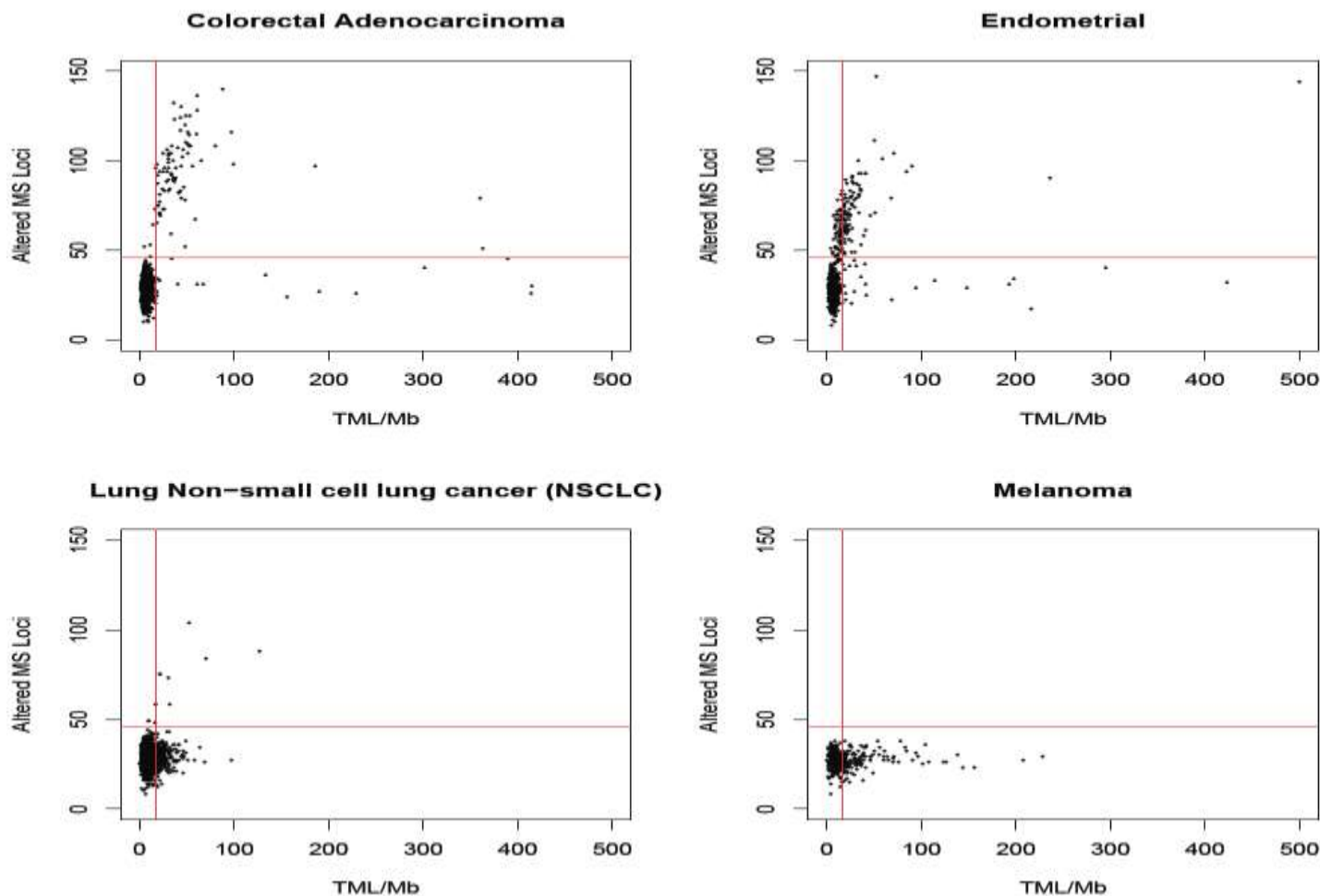
TESTS PERFORMED

Total  
Mutational  
Load

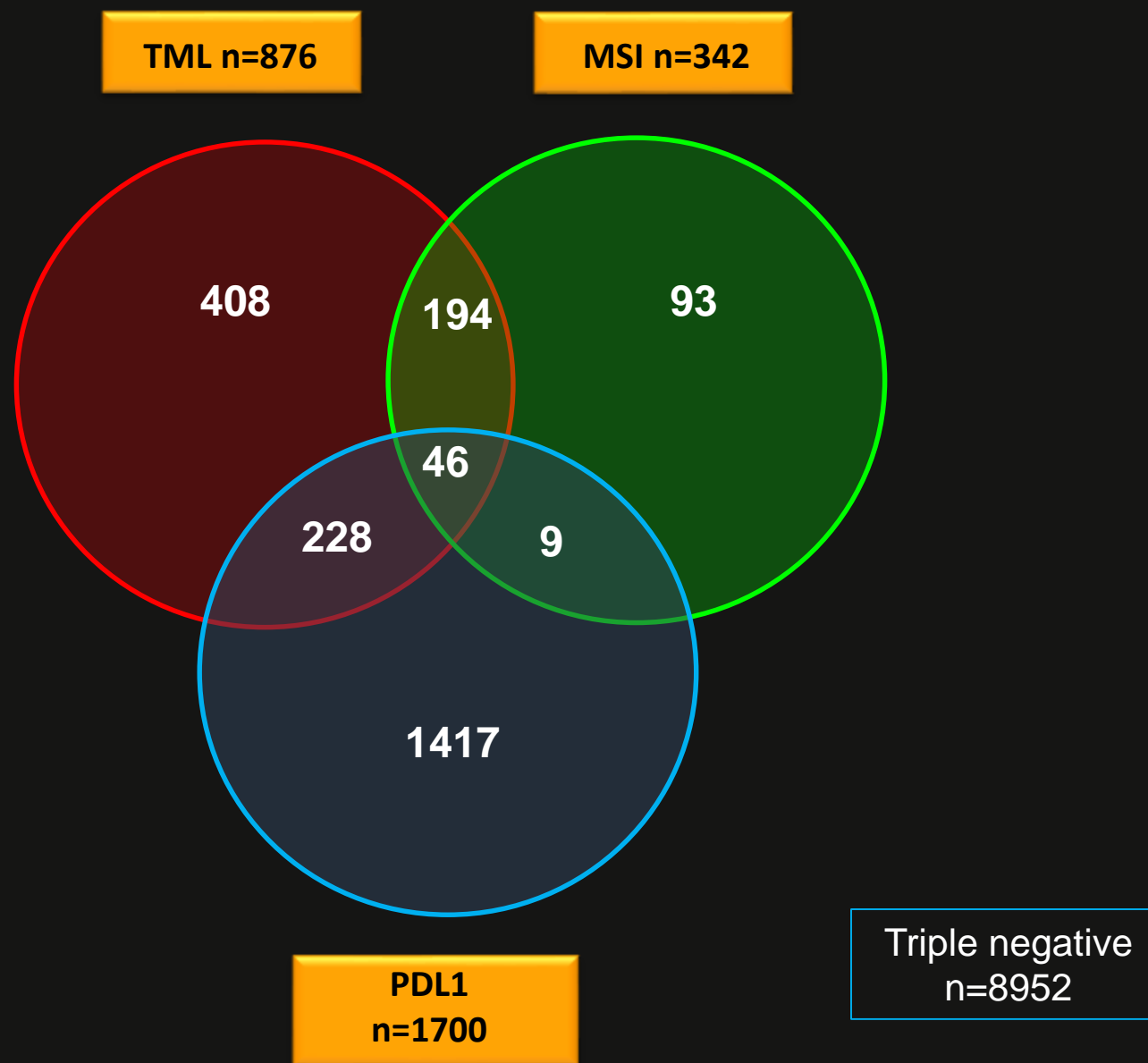
# Frequency of MSI-High Profiled by NGS in 31 Cancers (n =11, 251)



Scatter plots comparing MSI determined by NGS and TML per megabase for colorectal adenocarcinoma (n = 1267), endometrial cancer (n = 667), NSCLC (n = 964), and melanoma (n = 175)

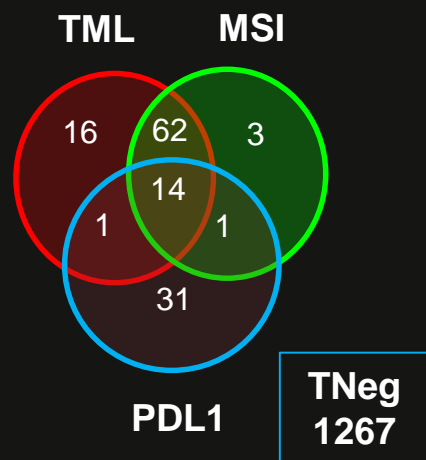


# Overlap of TML, MSI and PD-L1 Markers in 2,918 Patients In Cohort of Total 11,780 Patients

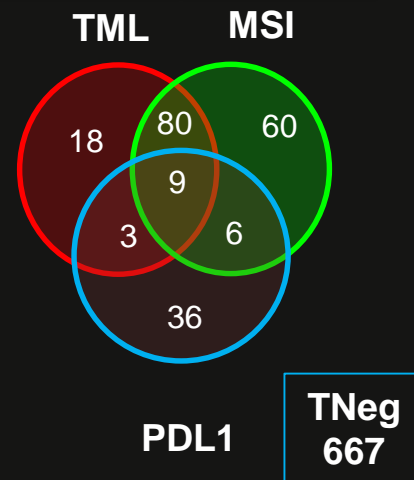


# Overlap of TML, MSI and PD-L1 Markers in Four Major Cancers

Colorectal Adenocarcinoma



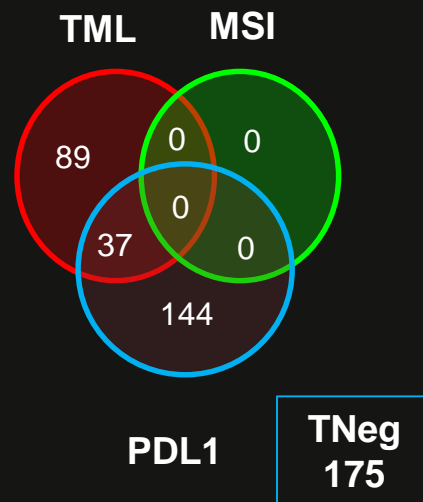
Endometrial



Non-small cell lung cancer

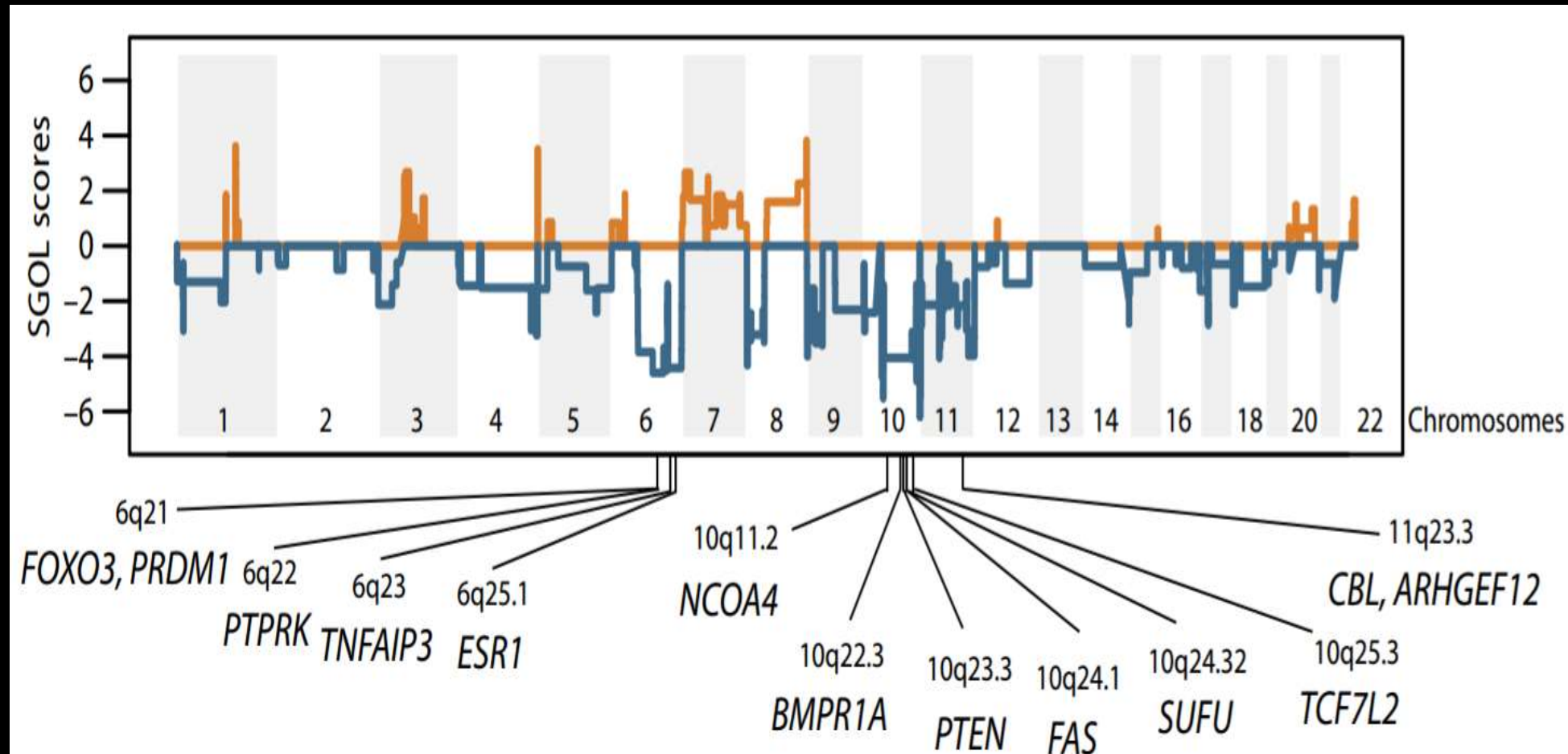


Melanoma





# Copy Number Loss (>2000) as a Potential Resistance Phenotype for Double Non-Responders to Sequential CTLA-4 and PD-L1 Blockade and Loss of Tumor Suppressor Genes on Chromosomes 6q, 10q, and 11q23.3



From: W. Roh et al. (2017) Sci. Trans. Med. 9, eaah3560

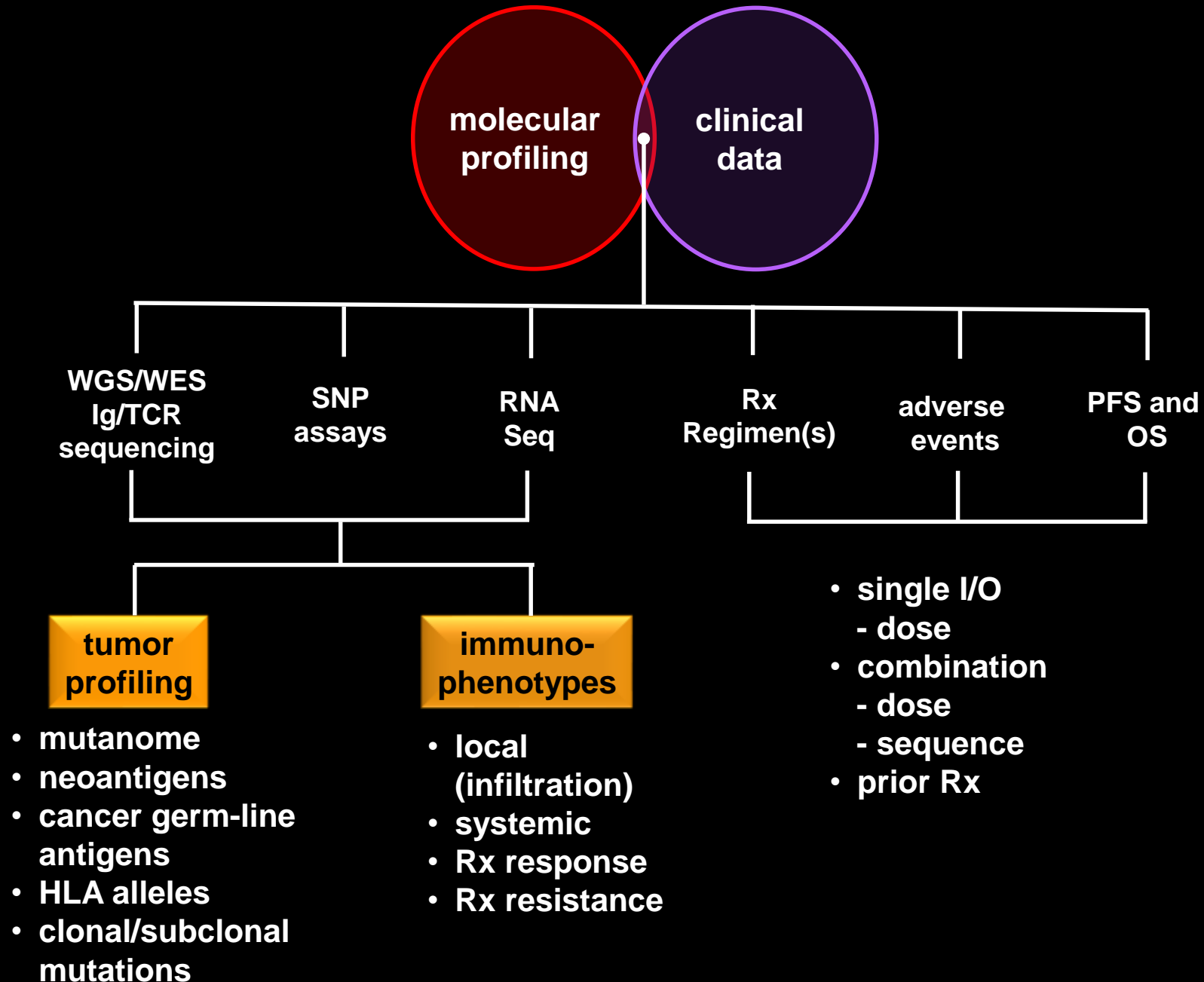


Immunotherapy offers hope to some people with hard-to-treat cancers — but it can backfire.

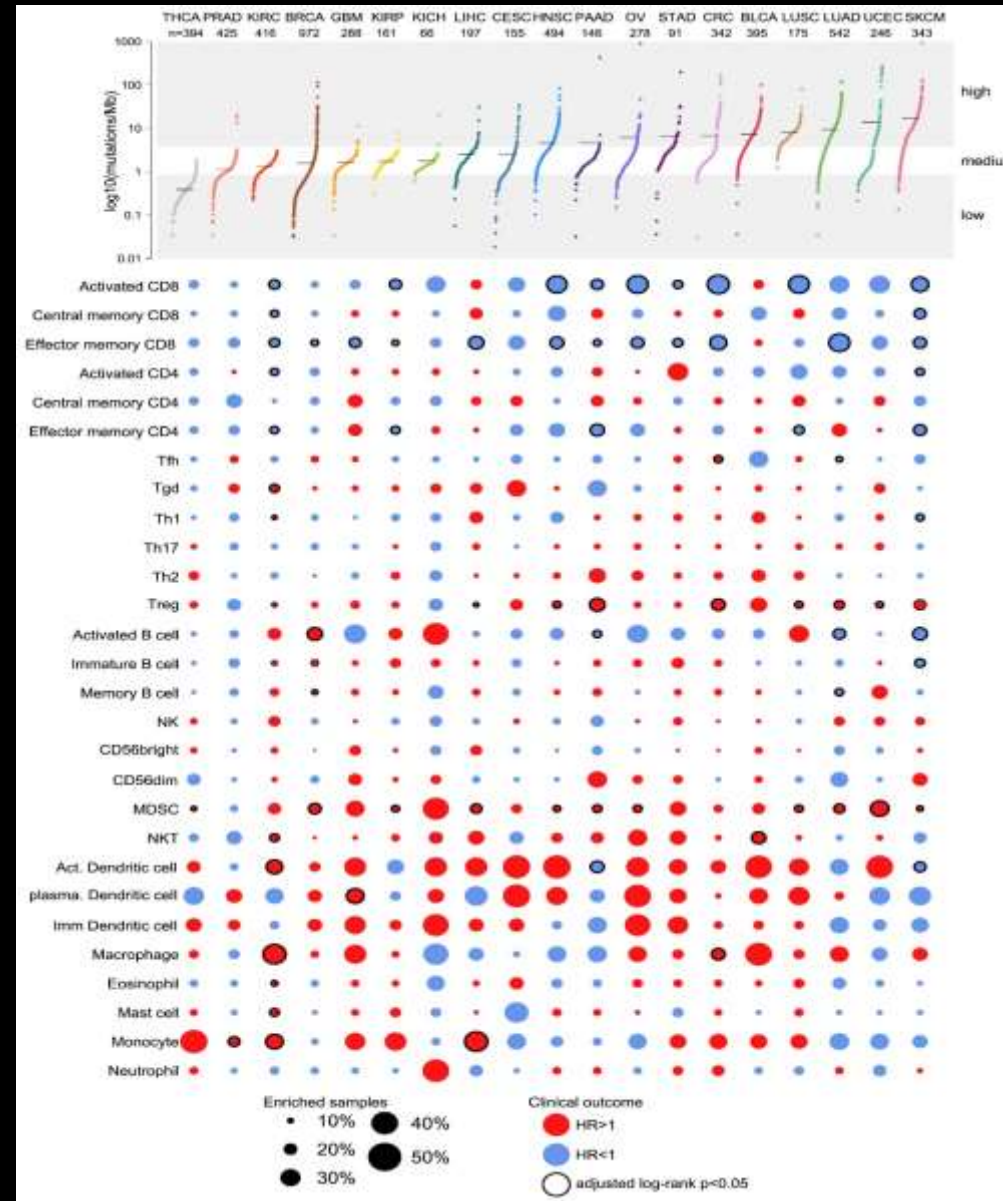
IMMUNOTHERAPY

# Cancer drugs may speed tumours in some people

# The Quest for Precision Immuno-oncology (I/O) Therapy



# Characteristics of Immune Cell Infiltrates in 19 Solid Tumor Types



# **Predictive Biomarkers for Response to Immune Checkpoint Blockade and Other Immunostimulatory Anti-Tumor Therapies**

- **high negative predictive value**
  - **reliable identifier of NR cohort**
- **pre-treatment marker desirable but improved response prediction early in therapy would still be valuable**
  - **efficacy (R), safety and avoid cost of futile therapy (NR)**
- **minimally invasive to enable dynamic monitoring**
- **utility in different cancers**
- **availability/regulatory approval for all markets in which Rx is sold**



# Biomarkers for Immunophenotyping

- **multiplex panels**
- **“biomarker positivity”: present, absent or graduated?**
- **how will reagents, assays and cut-off thresholds be standardized and validated?**
- **if pre-I/O-Rx (baseline) tissue biopsy is not a robust prediction for R or NR status when and how should post-I/O-Rx profiling be done?**
- **can immunophenotyping in blood accurately mirror intratumoral events in disseminated metastases with heterogeneous TMEs?**
- **is there an ‘over-arching’ immunophenotype characteristic for ‘R’ patients that will be valid for diverse I/O-Rx classes with different MOAs?**

# **Need for New Minimally-Invasive Assays for Monitoring Patient Responses to Immunotherapy**

- **'static' snapshot of immune profile in resected lesions/biopsies versus longitudinal monitoring of dynamic changes with tumor progression /Rx responses**
- **how far does the immune profile assayed in blood (liquid biopsy) mirror Intratumoral events in anatomically dispersed metastases?**
  - **immune cell subsets?**
  - **cytokines?**
  - **ctDNA?**
  - **exosomes?**



# Liquid Biopsy: CTCs and/or ctDNA/RNA

 GUARDANTHEALTH

GRAIL

 Roche

biodesix 

 natera™

 Biocept

 trovogene

 EPIC SCIENCES™

 PGD  
Personal Genome Diagnostics

cynvenio™

 exosome<sub>dx</sub>

 Transgenomic®  
Advancing Personalized Medicine

 QIAGEN

 FOUNDATION  
MEDICINE®

 NeoGenomics  
Laboratories

 RESOLUTION  
BIO

 HTG Molecular

 Swift  
BIOSCIENCES

 Genomic Health®  
LIFE, CHANGING.

 myriad®  
WHEN DECISIONS MATTER

 BIO CARTIS

 boreal  
science+

 Inivata

 exact  
sciences

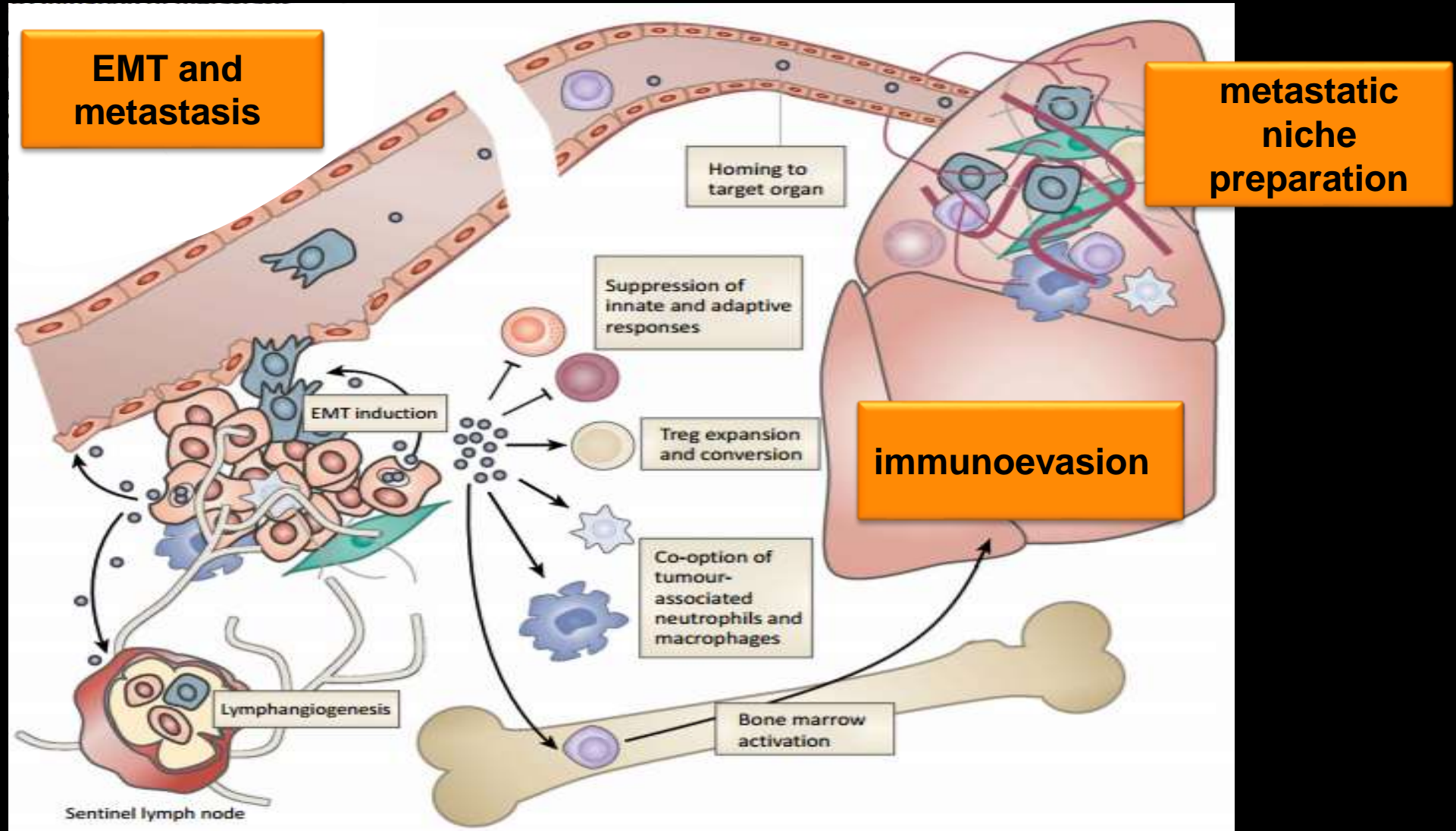
 inCellDx™

# **Tumor-Derived Exosomes as a Potential Molecular Profiling Platform to Assess Variation in Immunotherapy Efficacy**

## **Exosomes and Modulation of Immune Functions**

## **An Emerging Component in the Complex Balance Between Immune Activation and Suppression in Tumor-Host Interactions**

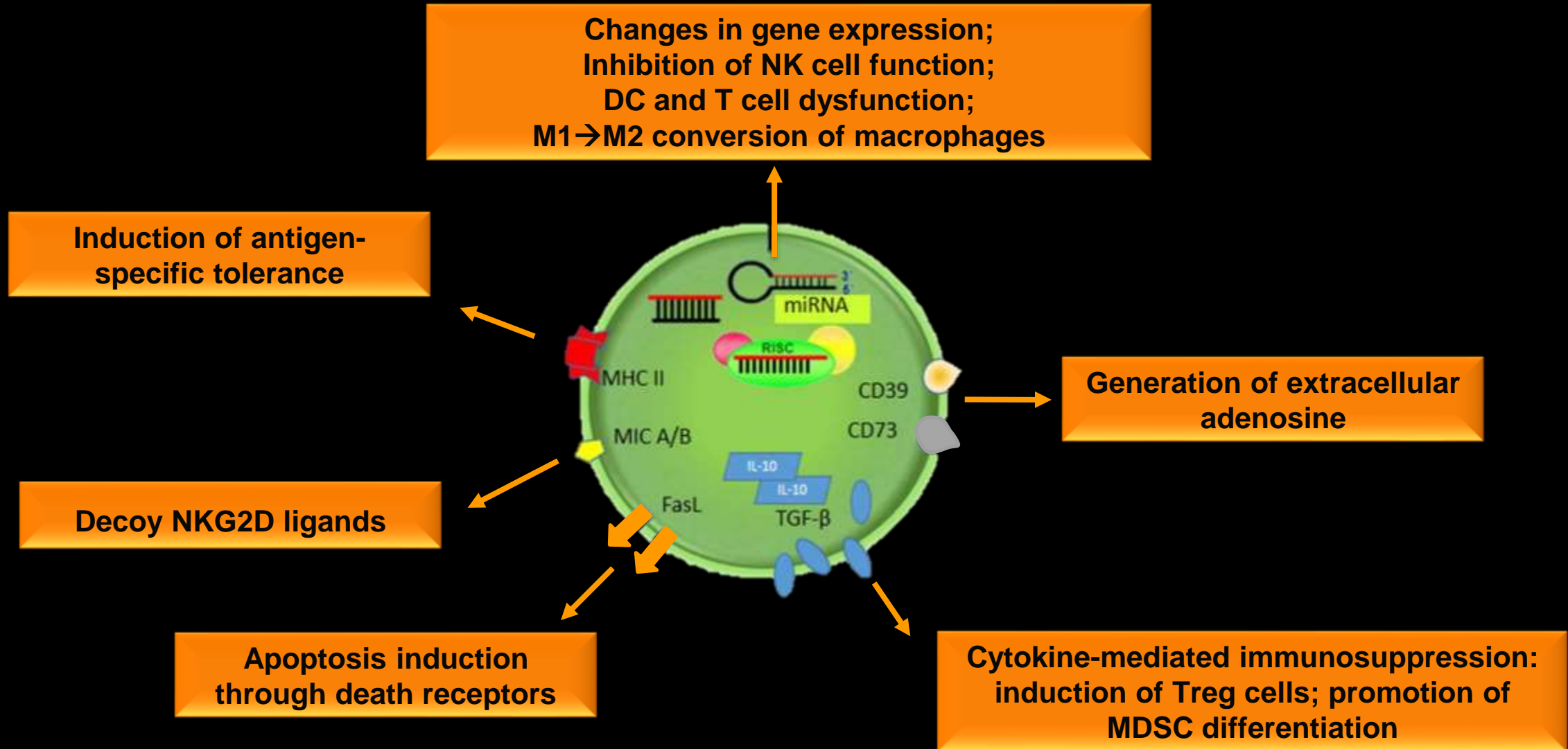
# Putative Roles of Tumor-Derived Exosomes in Cancer Metastasis and Immuno-evasion



# Immune Stimulation by Cancer-Derived Exosomes

- **direct activation of effector T cells by MHC class I and II complexes on vesicle membrane**
  - T cell priming required
- **transfer of tumor neoantigens to dendritic cells (DCs) and other antigen-presenting cells (APCs)**
- **exosomes stimulation of naïve CD4<sup>+</sup> T cells by DC –derived exosomes**
- **stimulation of pro-inflammatory macrophage M1 phenotype**

# Cancer-Derived Exosomes and Suppression of Anti-Tumor Immune Functions



**Profiling of Host Immune Cell-Derived and Tumor-Derived  
Exosomes as Potential Prognostic/Predictive  
Markers in Immunotherapy**



## Plasma Tumor-Derived Exosomes

- **exosome fraction elevated in cancer patients**
- **literature reports of up to 150µg protein/ml plasma versus <15µg/ml for normal controls**
- **preclinical and clinical literature indicating correlation of exosome levels with tumor stage and grade**
- **emerging evidence in evaluation of response to therapy**
  - **exosome reduction in long term AML remission plus shift in molecular profile to resemble normal controls**
  - **reduction in immunosuppressive markers**



## Blood-based Exosome Profiling

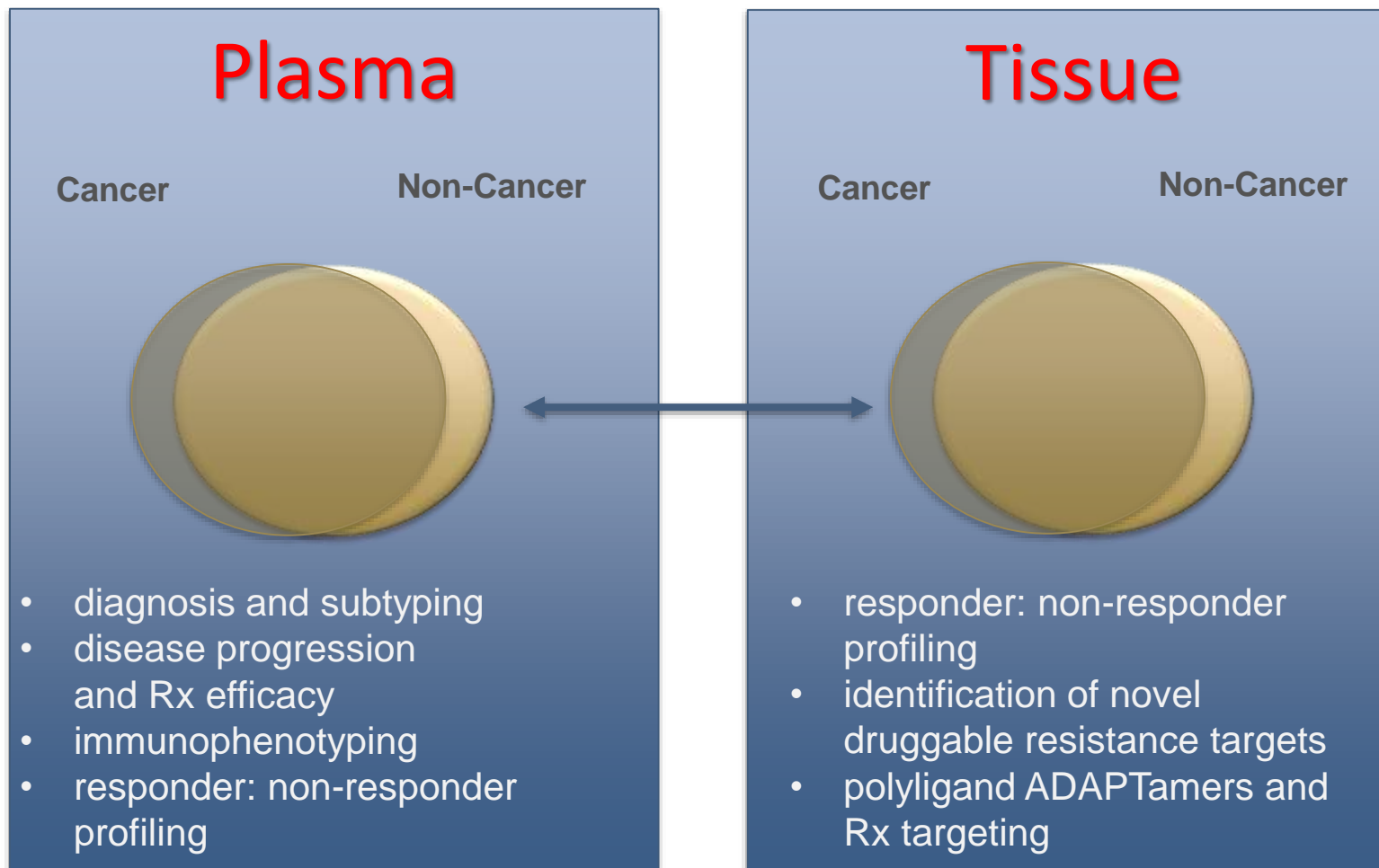
*Profiling Biological Systems  
In Their Natural State(s)*



*Unbiased Target  
Identification*

- **10<sup>12</sup> exosomes/ml**
- **proprietary aptamer oligonucleotide multiplex assay**
  - **NGS readout of selective binding**
- **identify cell-of-origin**
- **quantitative and qualitative disease-associated changes**
  - **identified by ADAPTamer libraries**
  - **MDx and Rx target identification**

## ADAPTamer Library Enrichment Schemes



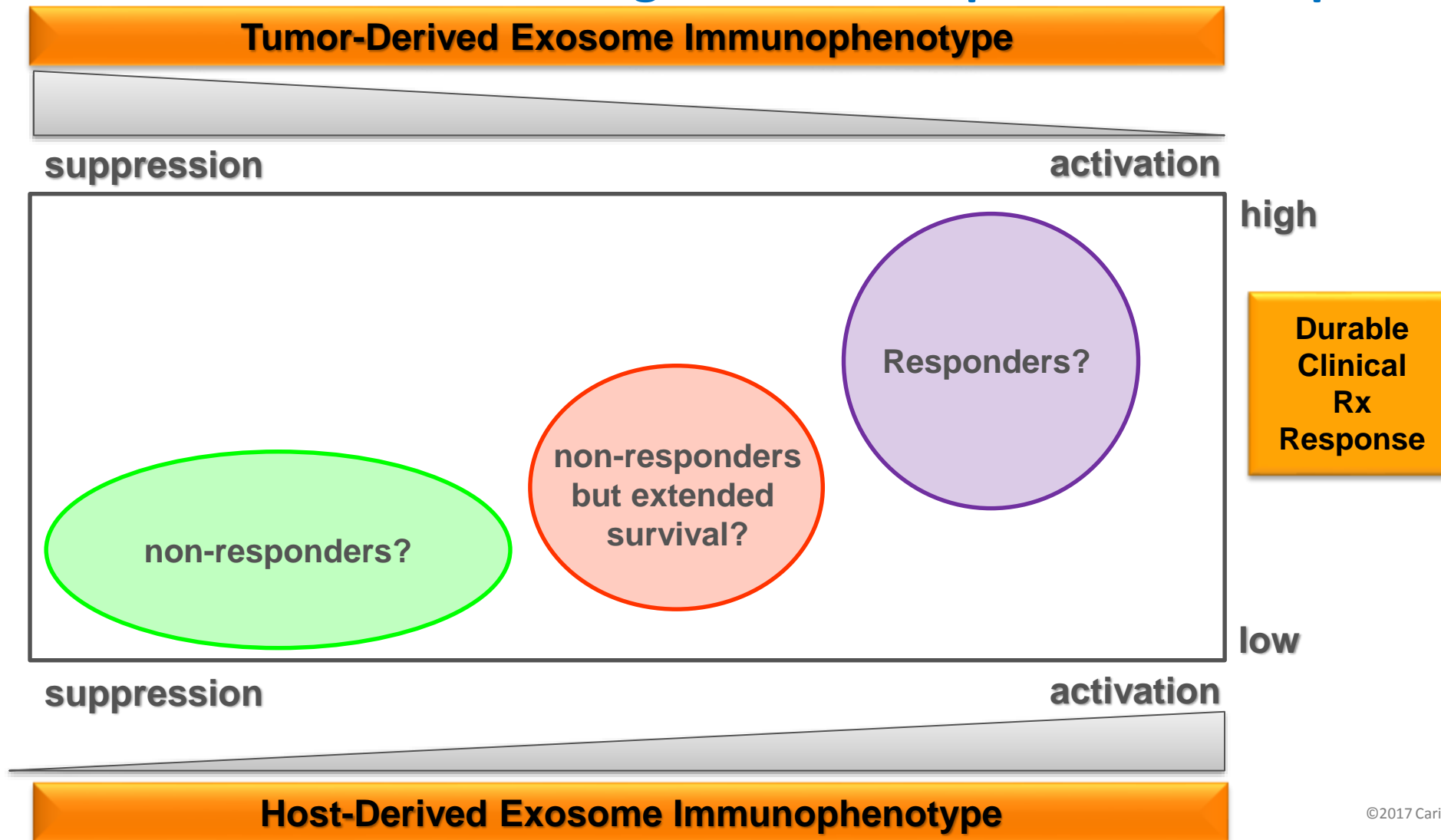
# Tumor-Derived Exosomes as a Potential Molecular Profiling Platform to Assess Variation in Immunotherapy Efficacy

- **assessment of Exo<sup>stim</sup> and Exo<sup>supp</sup> ratio in tumor progression and therapeutic efficacy**
  - baseline before immunotherapy
  - effect of prior Rx( 1L, 2L) on baseline
  - measure dynamic changes in ratio during immunotherapy
  - patterns and ratios in R and NR cohorts and/or adverse events

# Validation of Potential Prognostic-Predictive Utility of Exosome Immune Suppression-Activation Ratio Using Banked Samples from Completed Clinical Trials

- **plasma**
  - high stability due to small radius of curvature
  - 5 years at -80°C

# Retrospective Validation of Prognostic-Predictive Utility of Exosome Immune Suppression-Activation Ratio Using Banked Samples from Completed Trials



# A Major Repository of Consented Tissue Sections From Clinically Annotated Patients

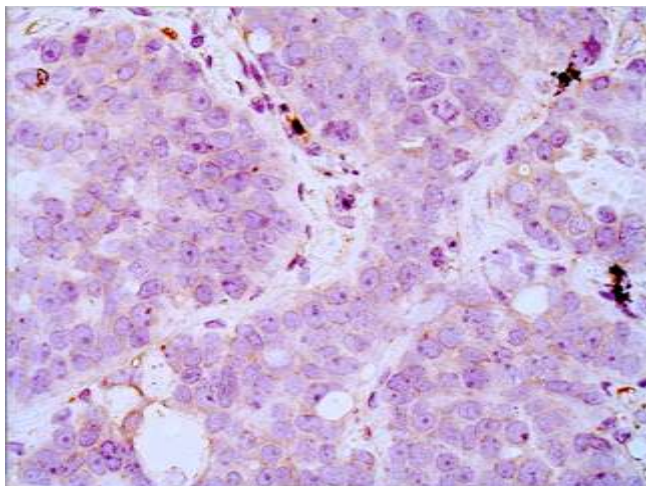




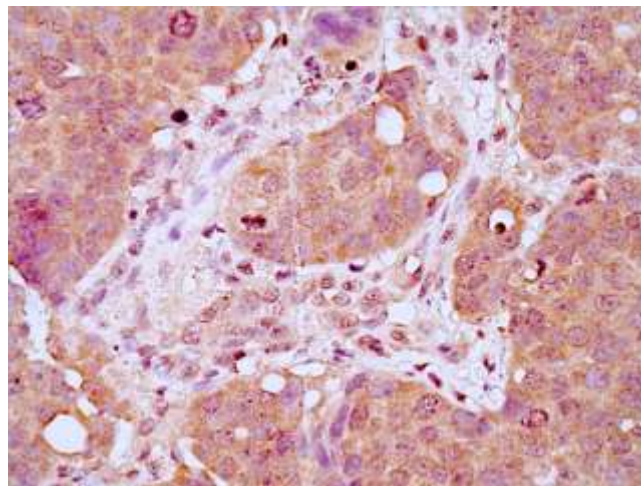
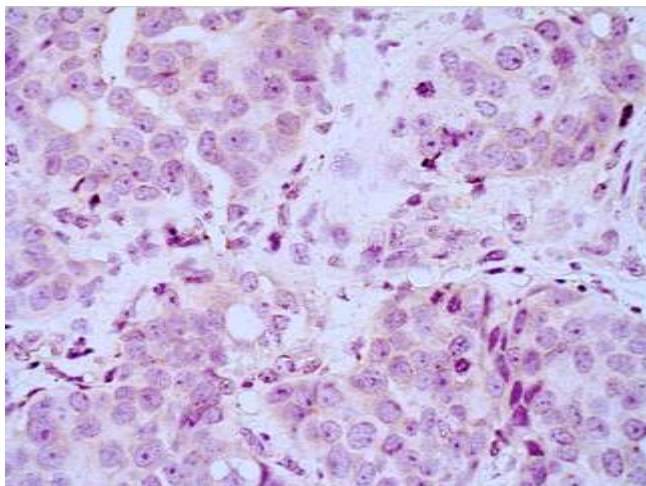
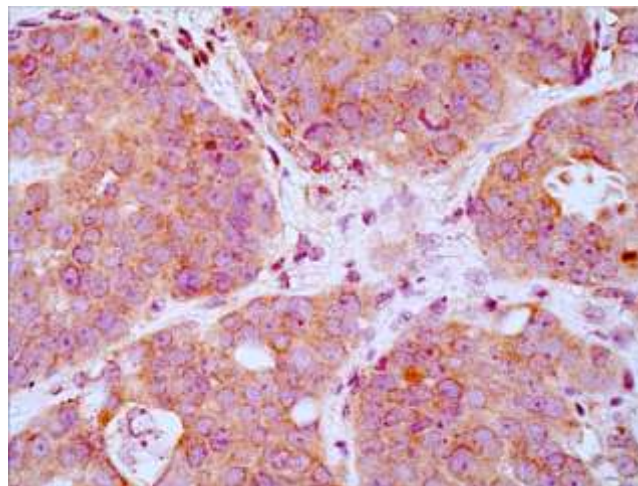


# Selection of Breast Cancer-Specific ADAPTamer Libraries

**Baseline**



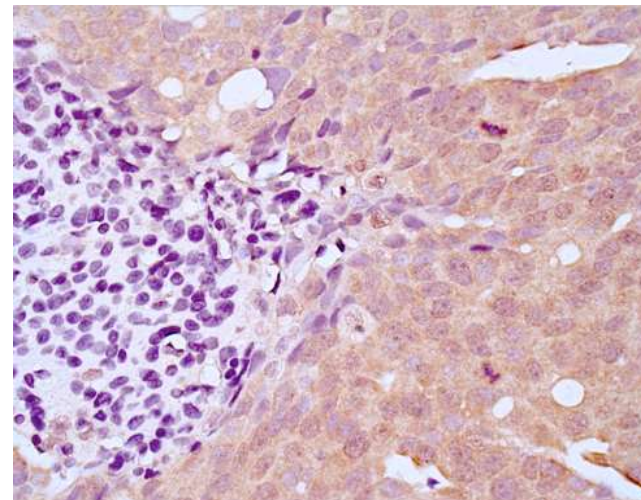
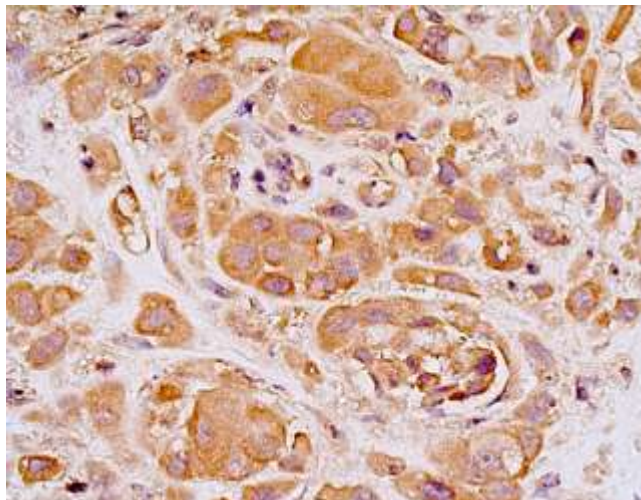
**Enriched Libraries**



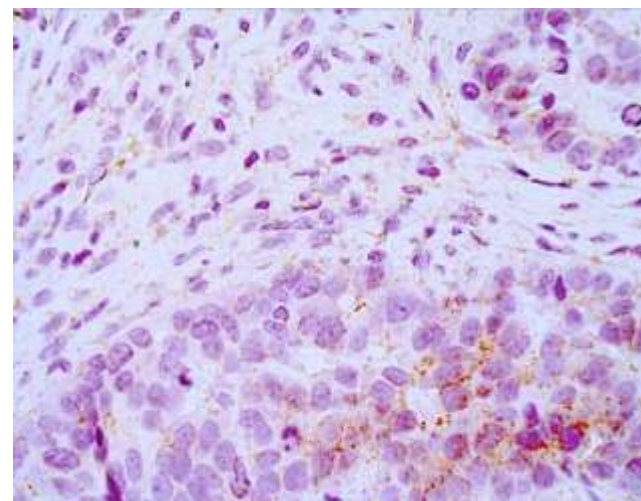
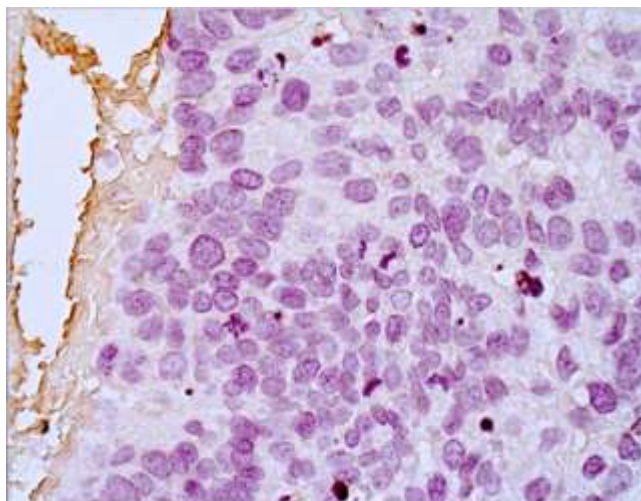


# Differential Binding of ADAPTamer Library Discriminates Trastuzumab Responders from Non-responders

**Responder**

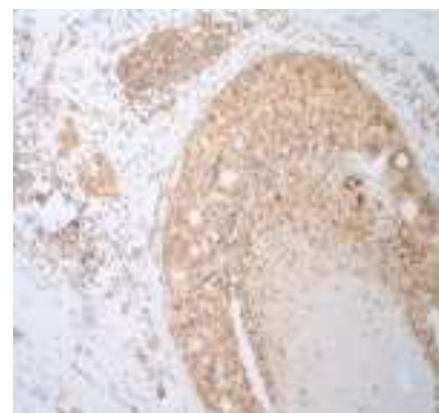


**Non-responder**

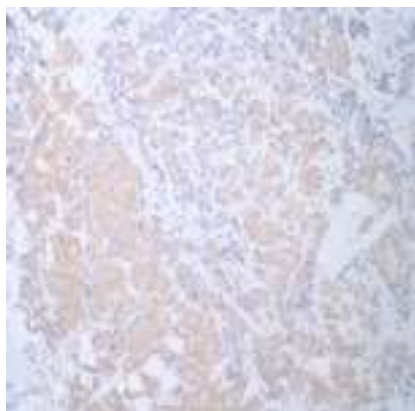


# Trastuzumab Non-Responders Exhibit Strong Nuclear Staining for ADAPTamer Library

**Non-responders**



**Responders**





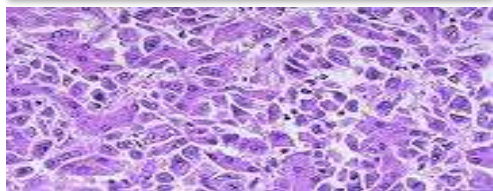
# Identification of Cancer Neoantigens

**Tumor  
Samples**

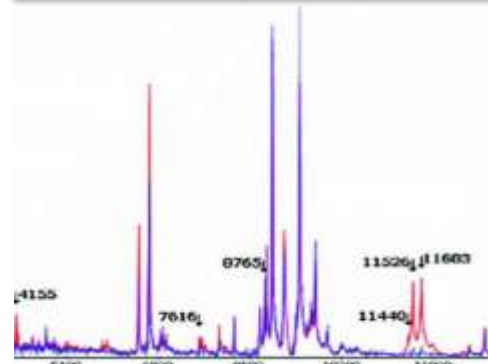
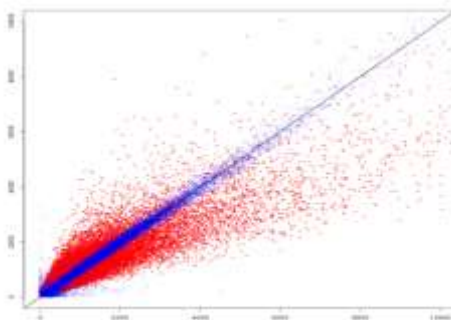
**ADAPT  
Exosome  
Profiling**

**Mass Spectroscopy  
MHC Epitope  
Recognition Filter**

tissue



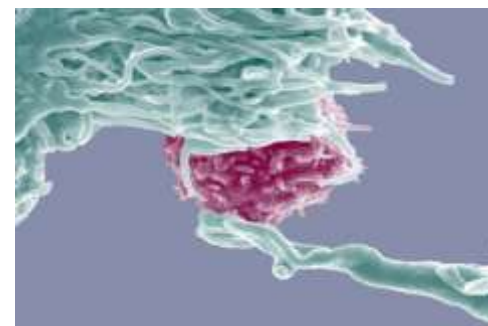
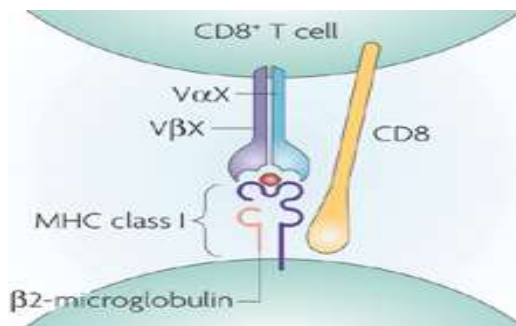
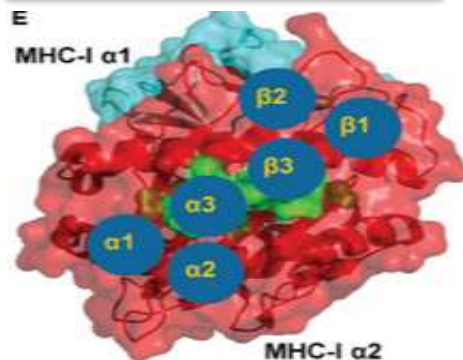
blood



**In Silico  
MHC Epitope  
Recognition Filter**

**T Cell  
Recognition-Activation  
Functional Assays**

**Activation/Suppression of  
Dendritic Cells**



# Is Widespread Adoption of Immunotherapy Economically Feasible?

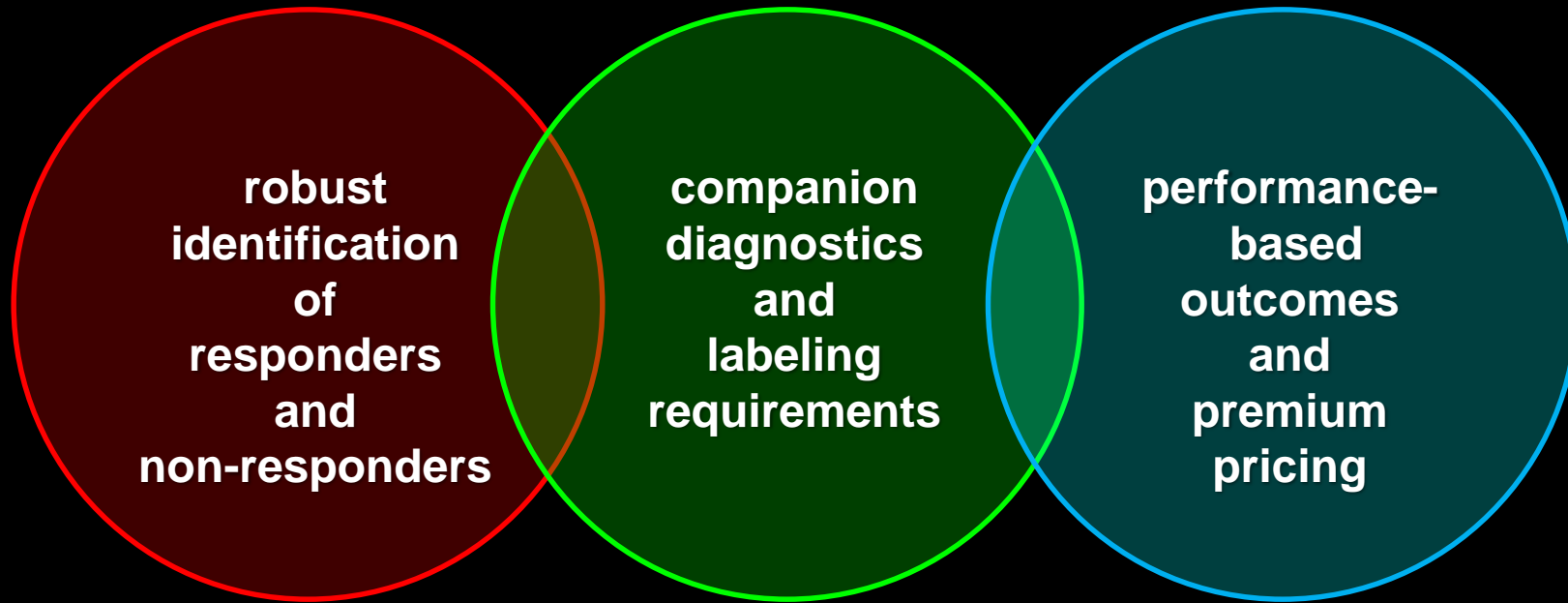


- direct Rx cost and futile Rx cost
- indirect care cost of clinical care
- escalating cost of combination regimens (> \$200K)
- extravagant cost of cell-based therapies (\$500K - \$1.5 million)
- high non-responder fraction complicates reaching QALY threshold of \$150K in responders

# The Evolving Trajectory for Payer Policy for Cancer Therapeutics

- **performance – based pricing**
- **indication – based pricing**
- **reference – based pricing**

# **Performance-Based Contracts and Pricing: The Inevitable Future Landscape for Immunotherapy?**



# Performance-Based Contracts and Pricing: The Inevitable Future Landscape for Immunotherapy?

